

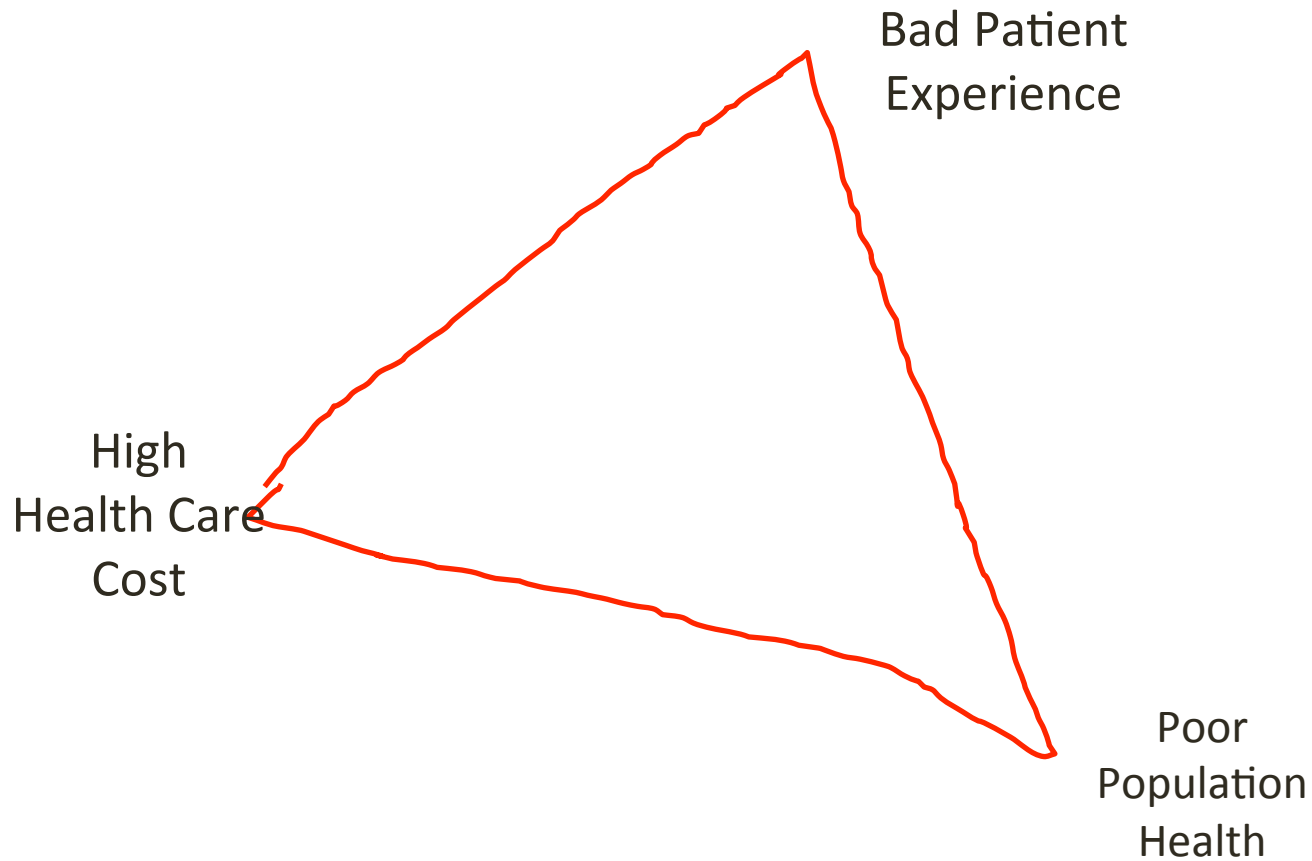
# **Predicting and Preventing ‘Triple Fail’ Events in Health Care Systems**

Rhema Vaithianathan

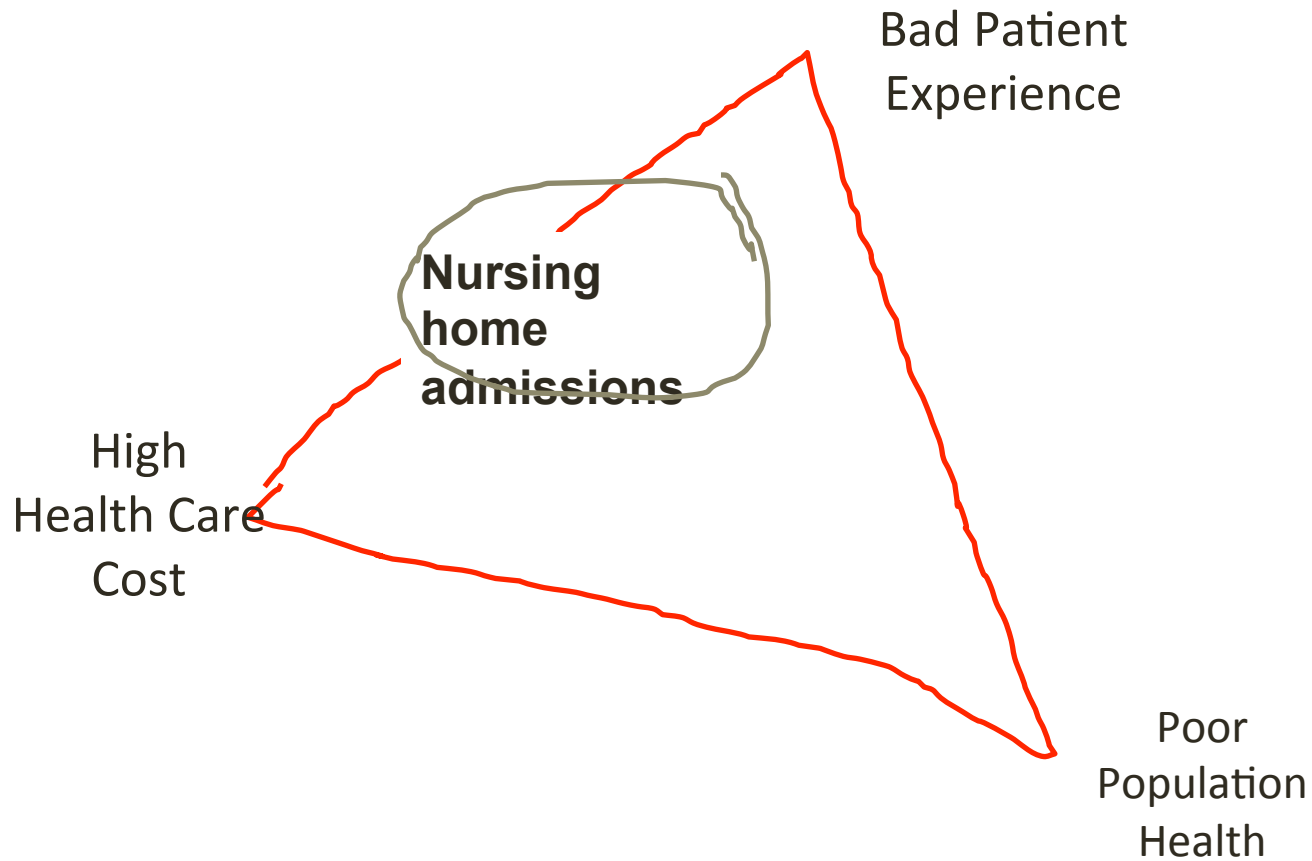
Sim Kee Boon Institute, Singapore Management University

Department of Economics, University of Auckland

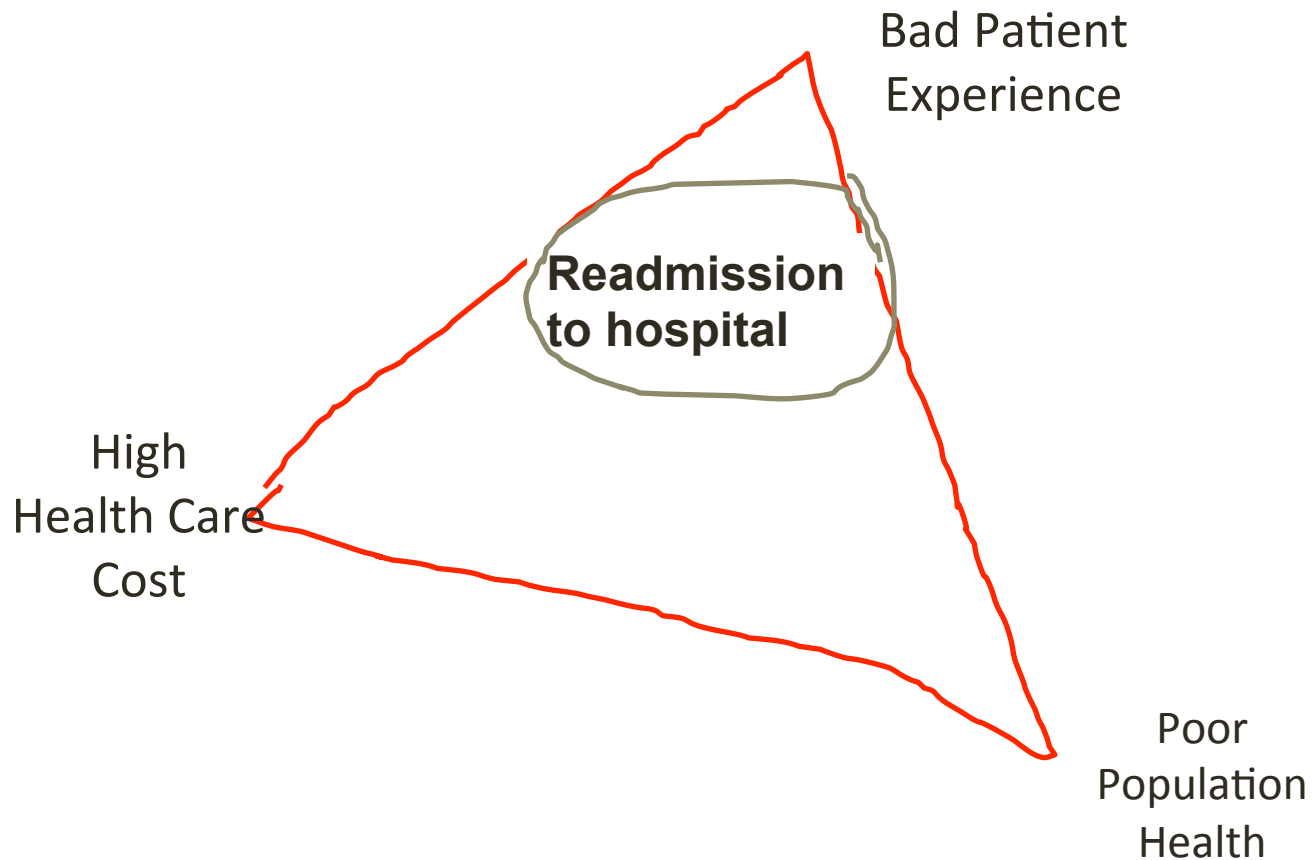
# Triple-Fail Events in Health Care



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prevents costly events **before** they occur



# Targeting Preventive Care

Chronic disease management to..

**prevent** hospitalisation

Home health care to..

**prevent** nursing home admission

Healthy eating programs to ...

**prevent** metabolic syndrome

How well do health care systems

choose people

for preventive services?

## Three main methods

1. Clinical judgement
2. Threshold models
3. Predictive Risk Models



# Clinical Judgement



- Evidence that physicians are poor at being able to assess re-hospitalisation risk

# Clinical Judgement

Hospital clinicians in a US hospital asked to judge:

“ which patients more likely to be readmitted?”

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“ which patients more likely to be readmitted?”

	Attending Physician	Resident Physician	Intern	Case Manager	Nurse
Area under the ROC curve and 95% c.i	0.58 (0.46–0.69)	0.58 (0.47–0.71)	0.59 (0.47–0.70)	0.50 (0.38–0.63)	0.55 (0.44–0.67)

**No better than tossing a coin!**

[1] Note that at Area under the ROC curve of 0.5 indicates that the prognostic power is equal to chance. Source: Allaudeen, N., J. Schnipper, et al., 2011

# Clinician Judgement

- Would recruit the **wrong patient** for preventive services

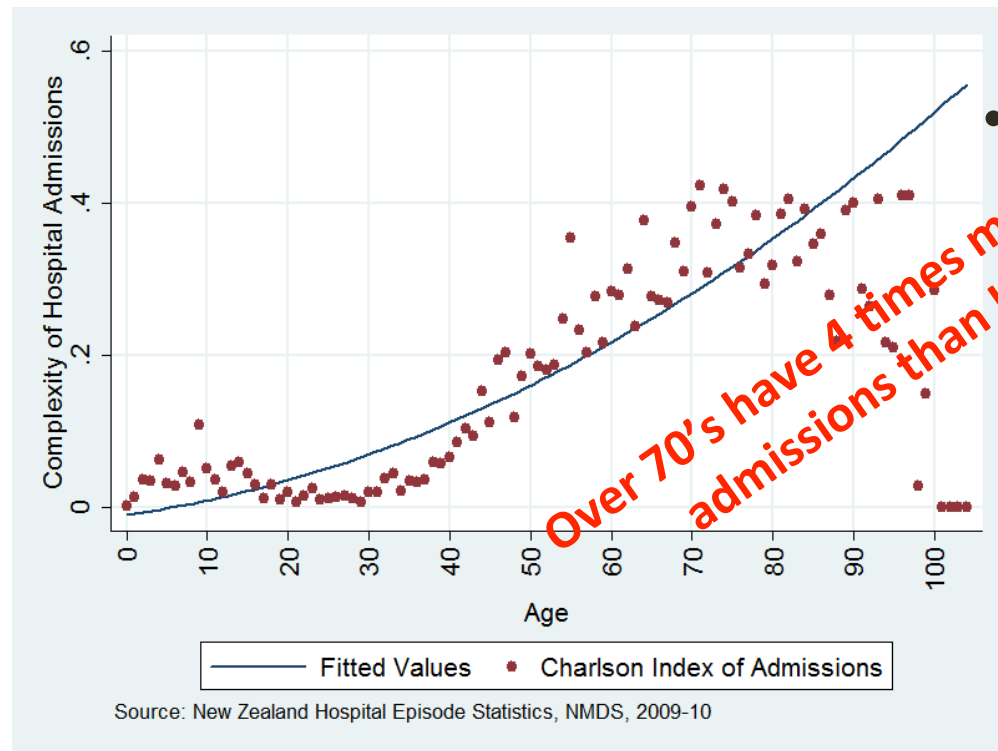
Why are physicians so poor at predicting utilisation risk?

× Single disease orientation

... high cost individuals have **multiple diseases**

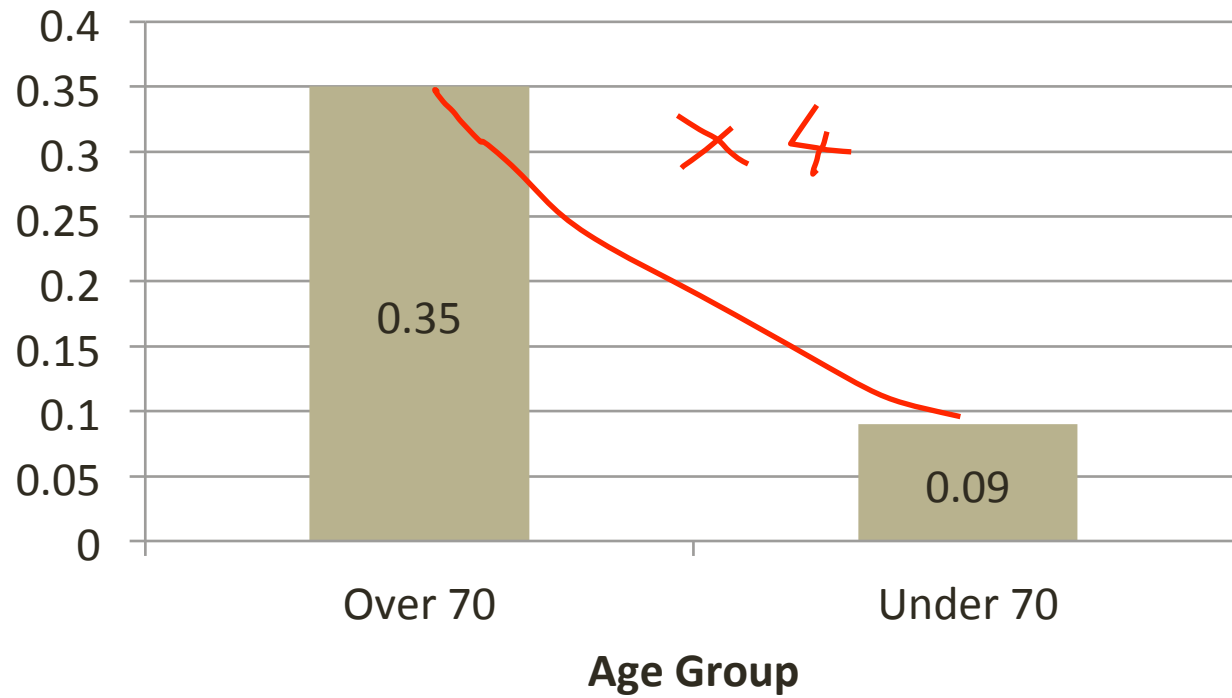
...High cost elderly have multiple complex condition

# Age and complexity of Health Needs



- The Charlson Index measures the complexity of conditions

## Charlson Index





## Three main methods

1. ~~Clinical judgement~~
2. “Threshold models”
3. Predictive Risk Models

# Threshold Models

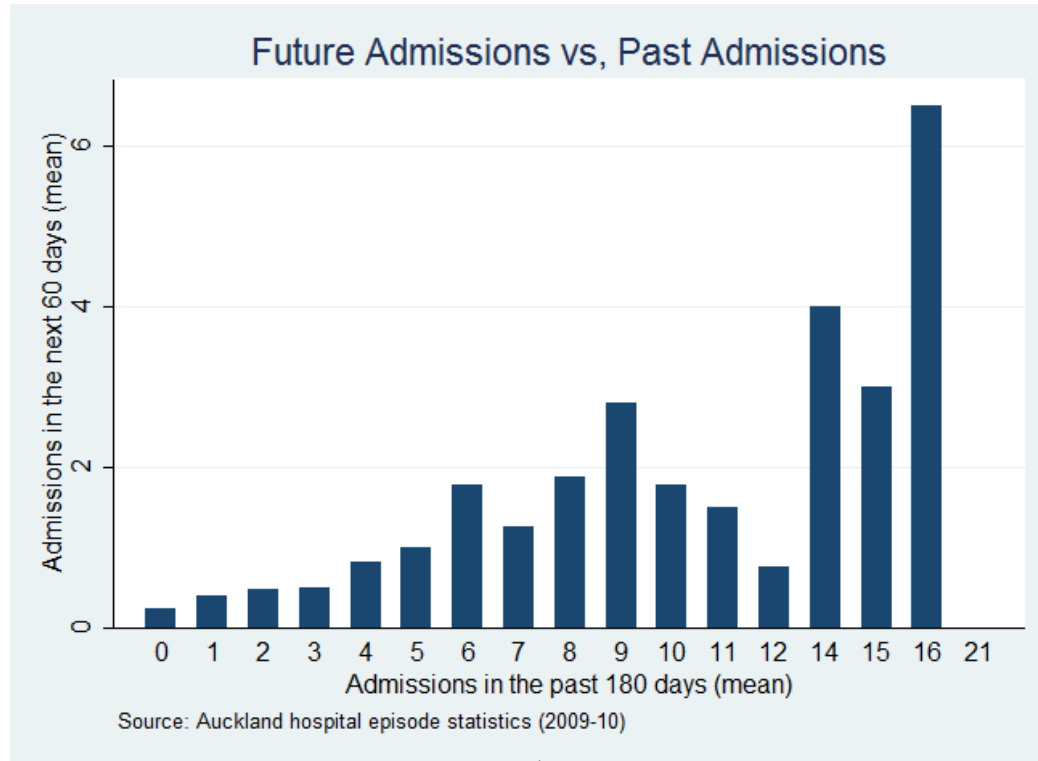
Recruit patients on the basis of a few characteristics

“Aged over 65 years old and has  
2 or more admissions in the past  
12 months”

Admissions criteria for a US hospital  
avoidance program called Evercare

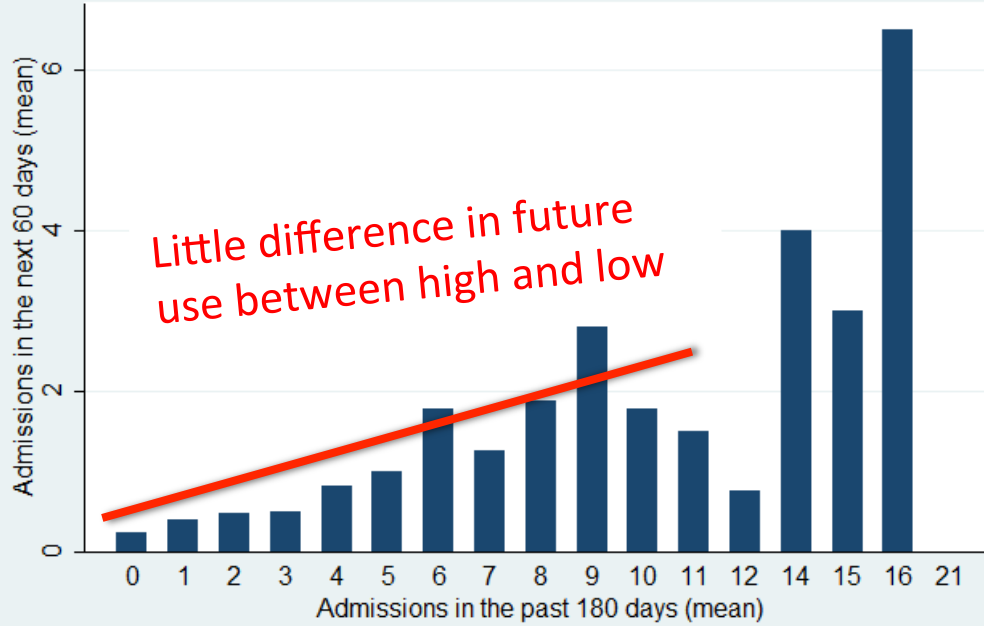
Is **Past** utilisation  
a good measure  
of **future** utilisation?

future admissions



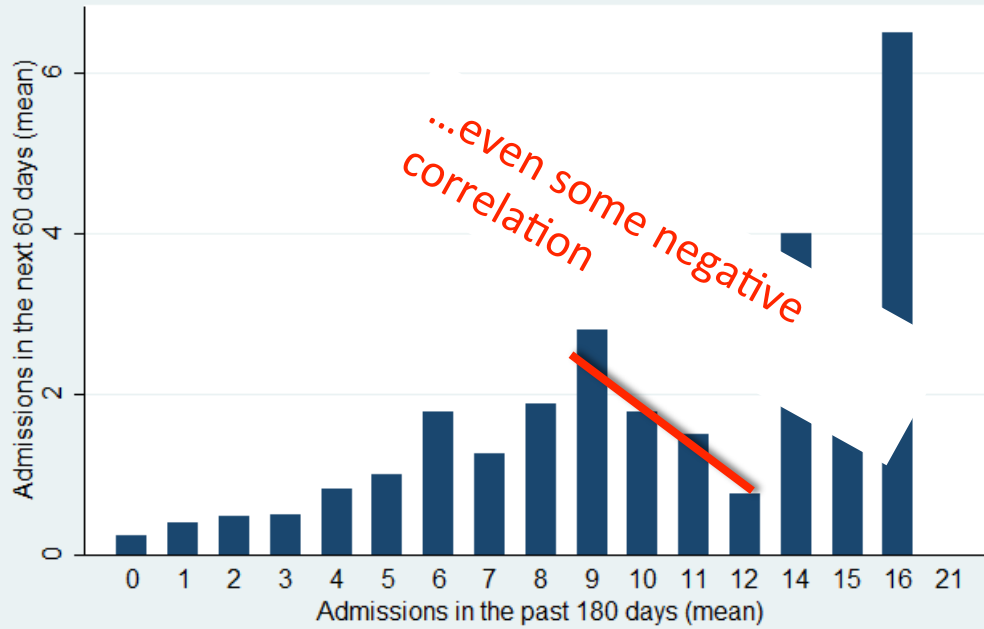
Past admissions

### Future Admissions vs, Past Admissions



Source: Auckland hospital episode statistics (2009-10)

### Future Admissions vs, Past Admissions



Source: Auckland hospital episode statistics (2009-10)

# Why?

- ✓ Most diseases are self-limiting
- ✓ Intense users are likely to die (or become better)
- ✓ “Regression to the mean”

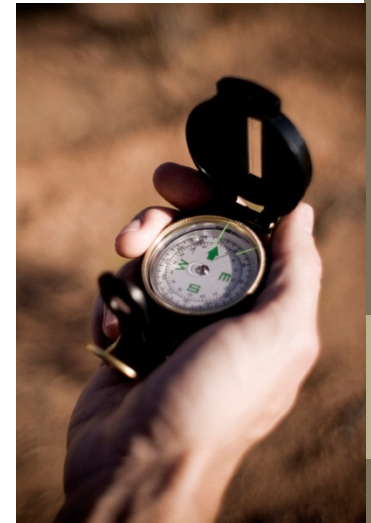
## Three main methods

1. ~~Clinical judgement~~
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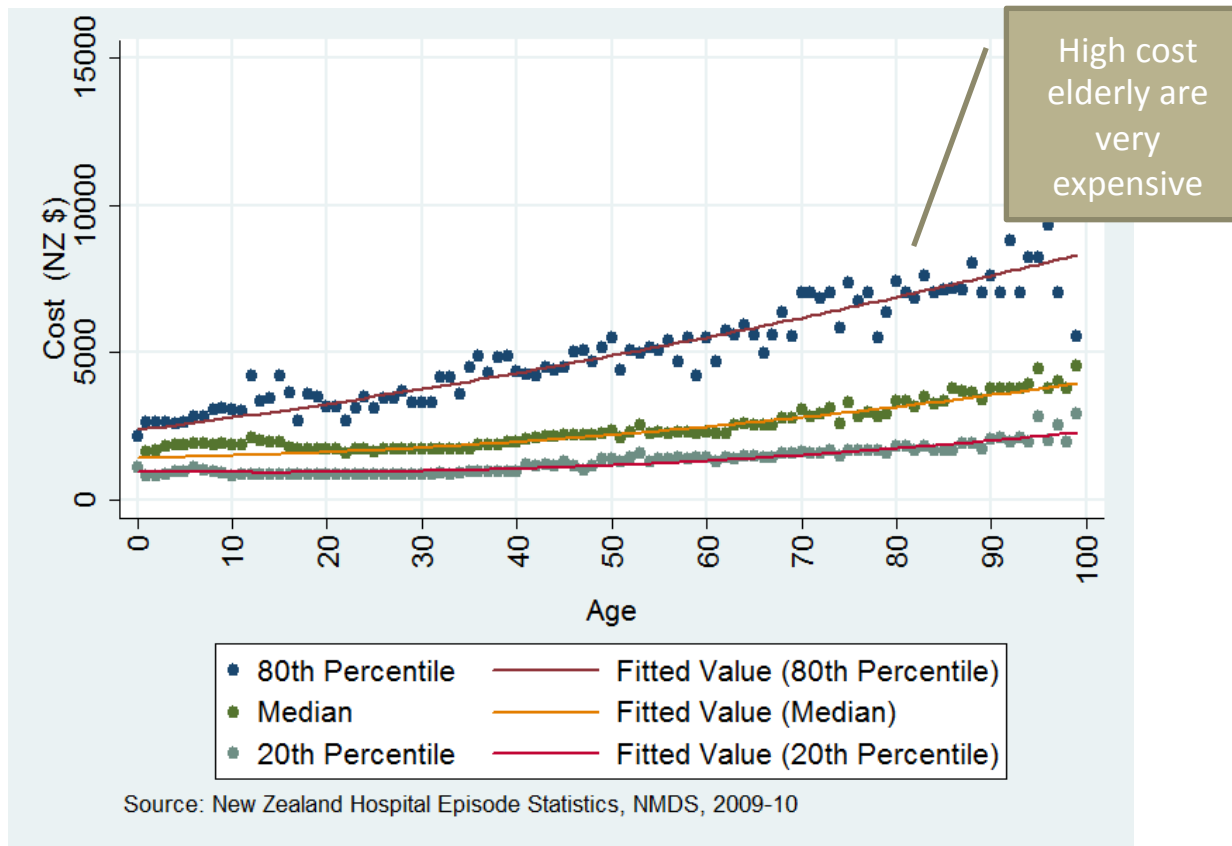


# What is Predictive Risk Modeling?

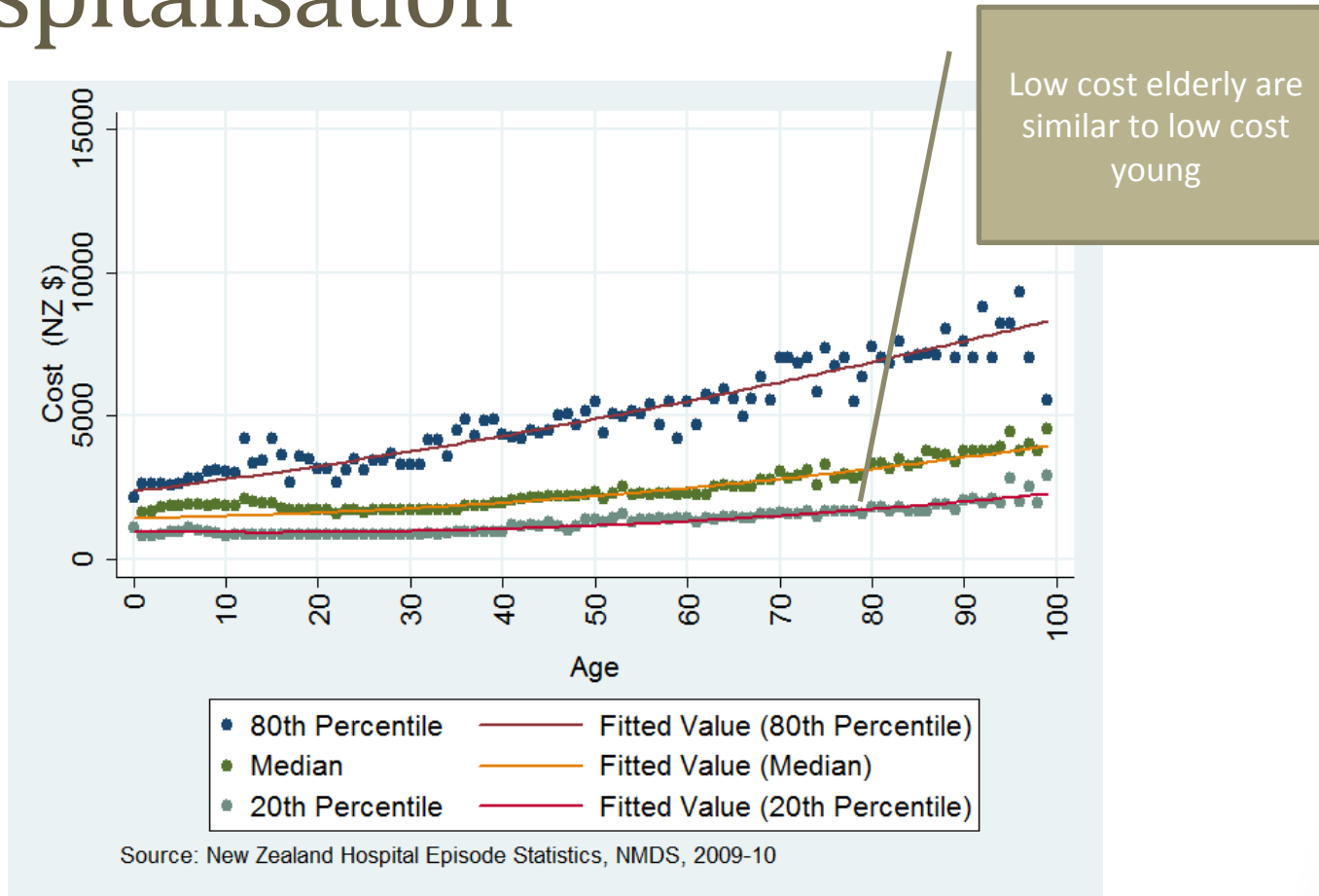
- Using **routinely collected** episode data
- Algorithm to **predict** the probability that a person will have an expensive adverse event
- Helps health care systems target preventive care to patients who will be at high risk of becoming expensive



# Age and Expenditure on Hospitalisation



# Age and Expenditure on Hospitalisation



Not all elderly are high cost

→ predict the **high cost** elderly

→ offer them “preventive programs”

**Case Finding**

# Case Finding...

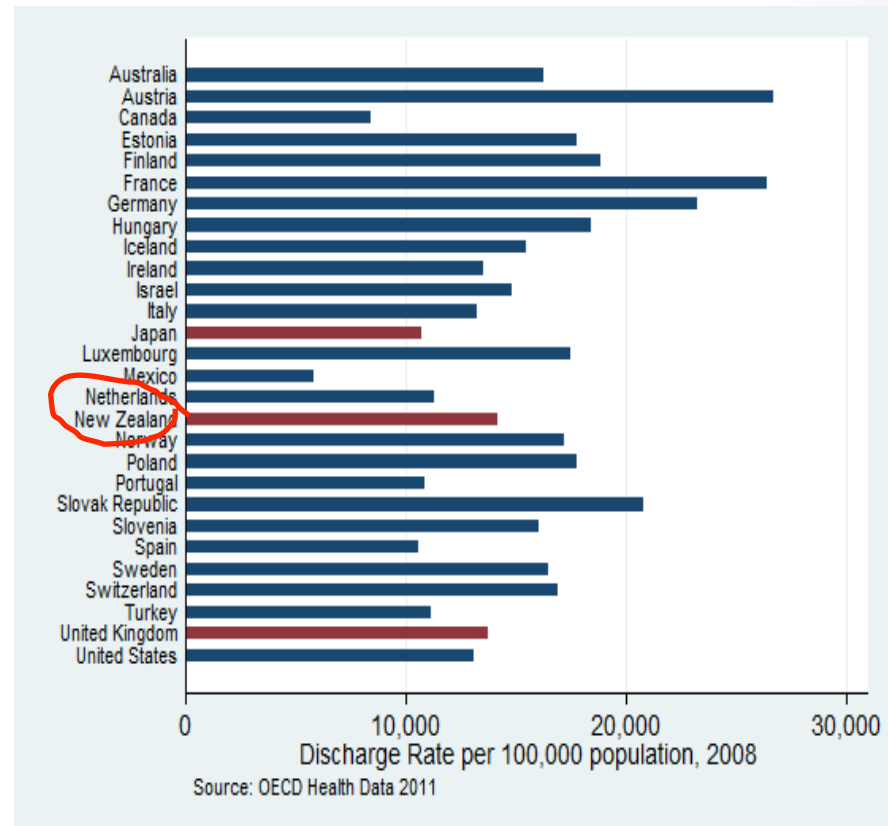


Identification of patients for programs

# NEW ZEALAND'S PREDICTIVE RISK MODEL

# New Zealand

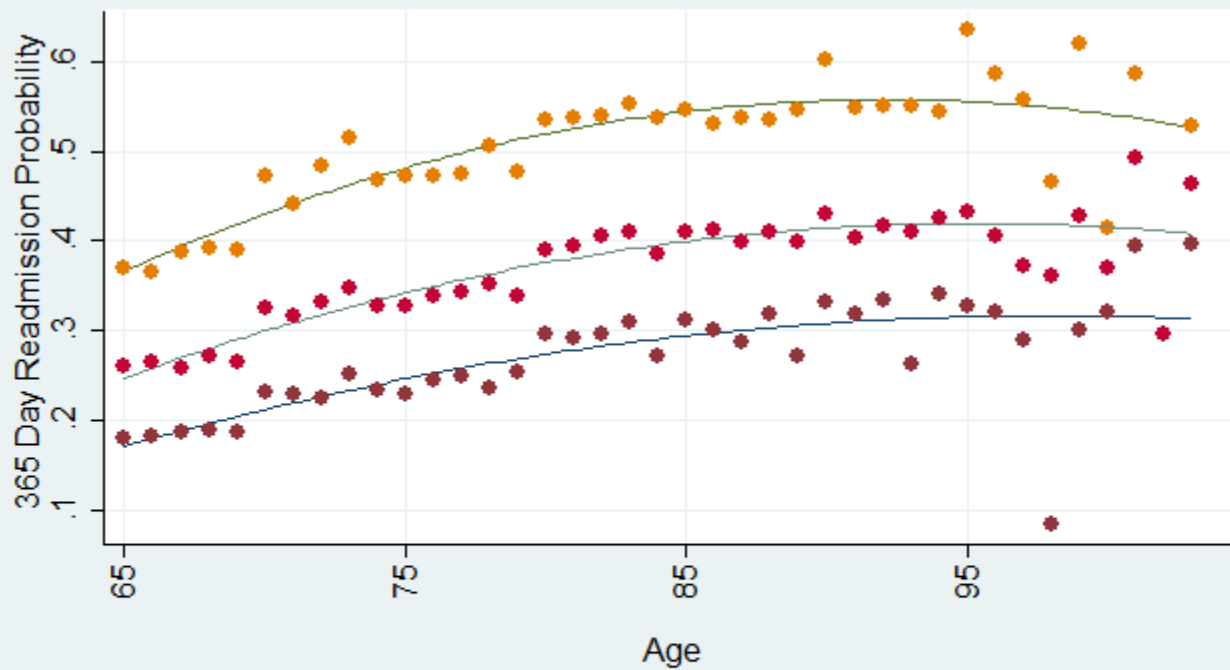
- Has a National Health system
- Hospitals are mainly public
- Taxpayer funded
- Has relatively **high discharge rates**
- Concerned about re-hospitalisation rates



# Auckland PRM model (365 days)

- When patients arrive at hospital they will be **risk scored**
- The score indicates the risk of re-hospitalisation within 365 days
- Risk score will be sent to the General Practitioner (Medical home)
- Case review high risk patients





— Fitted Values	● 80th Decile
— Fitted values	● 20th Decile
— Fitted values	● Median

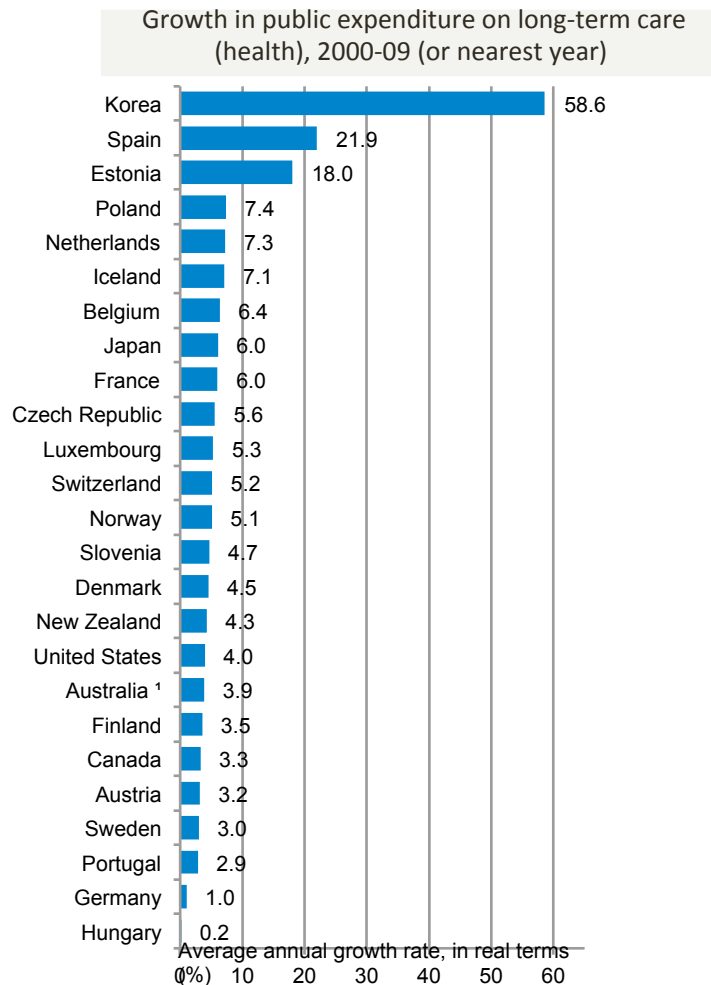
Source: New Zealand Hospital Episode Statistics, NMDS, 2009-10

# Advantages

- ✓ **Automated**
- ✓ Classifies according to **future** risk
- ✓ **Match** programs to risk class
- ✓ Can develop a **Business Case** for avoidance programs

# PROSPECTS FOR LONG TERM CARE

# The potential LTC crisis...



# Predictive Risk Modeling in the Elderly?

Where are there prospects?

- ✓ Reduction in **Length of Stay (LOS)**
- ✓ Targeting of **home care** for the elderly

# Why long length of stay?

Shortage of nursing home beds

“Social hospitalisation” – long stay due to failure in social care

# What to do about long stays?

At admission identify patients at “risk” of long LOSs

→ commence discharge planning

**immediately**

→ arrange “step-down” nursing care

predicted

→ reward hospital for  
shorter than  
LOS

# Targeting Home Health Services

Majority of elderly want to be cared for at home

...Comprehensive home care services is **labour intensive**



# Targeting Home Health Services

OECD countries rely on **immigrant** nursing and allied professionals

(23% of all New Zealand nurses are foreign born)

With shrinking labour force and low fertility →

need to **target** home help

Home help, day care, respite care are aimed at

reducing functional decline

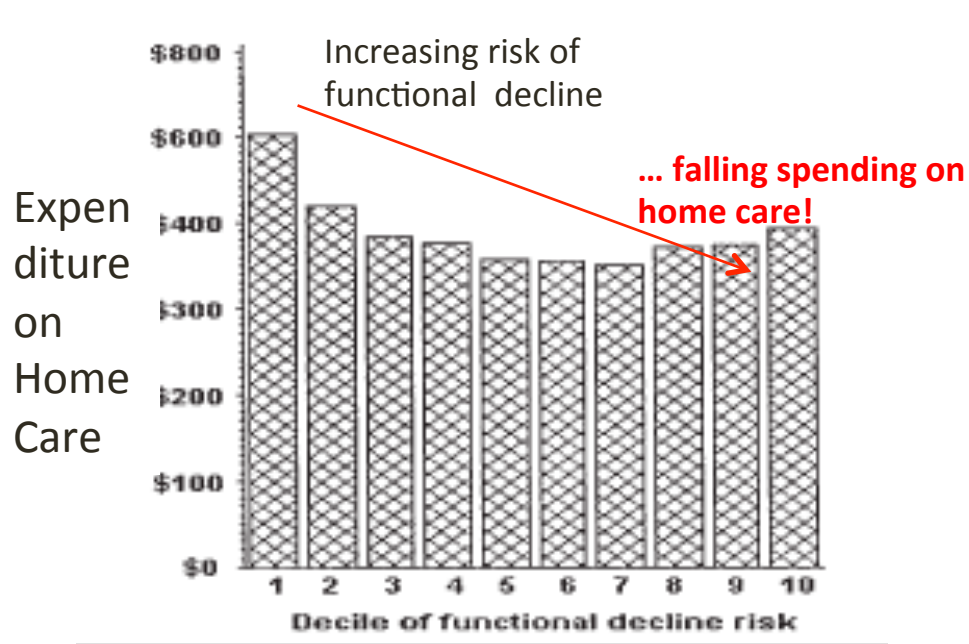
and

keeping people out of nursing homes

.... So should be given to people at  
risk of functional decline

Is it?

# Targeting of home help is typically very poor

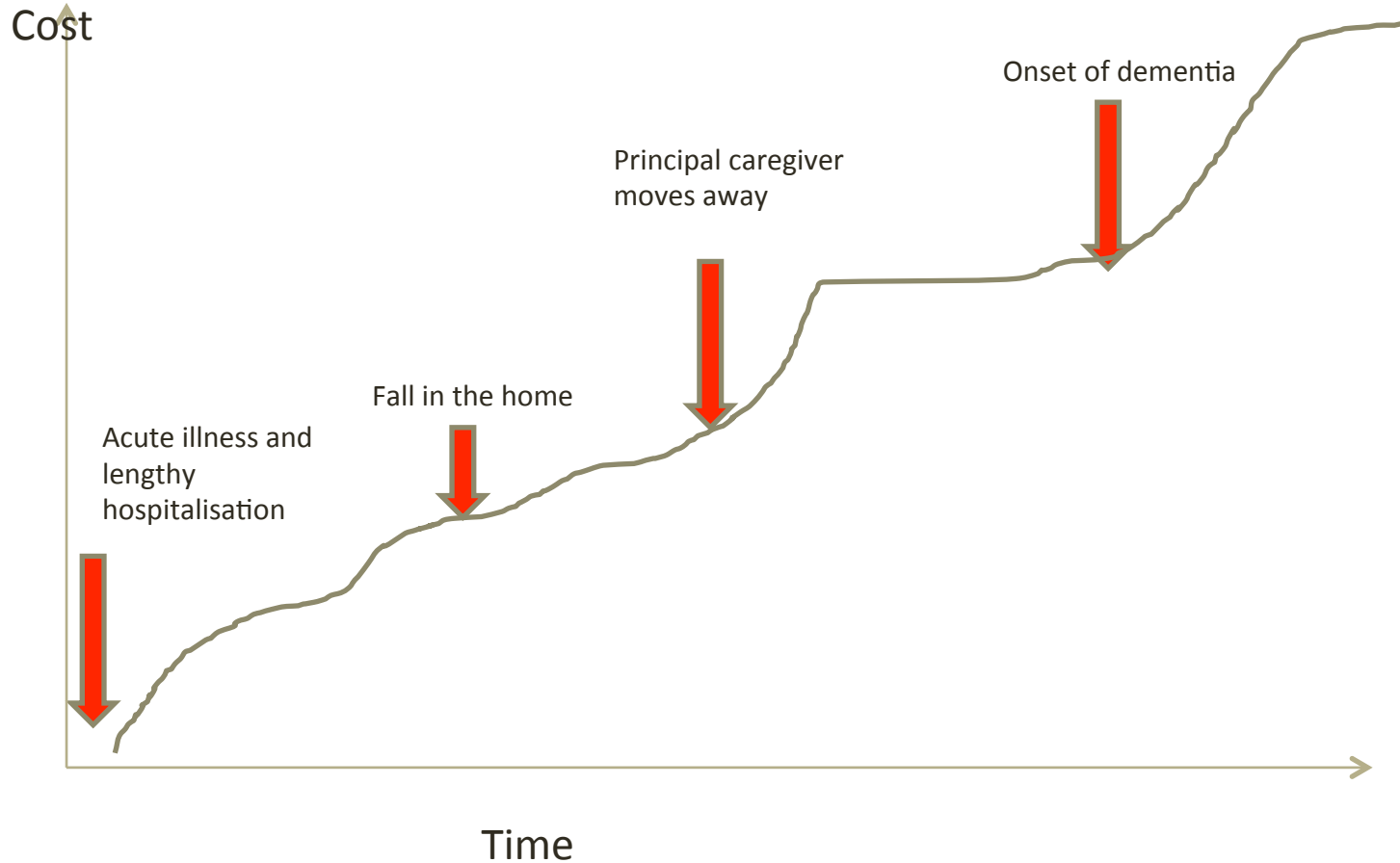


US population

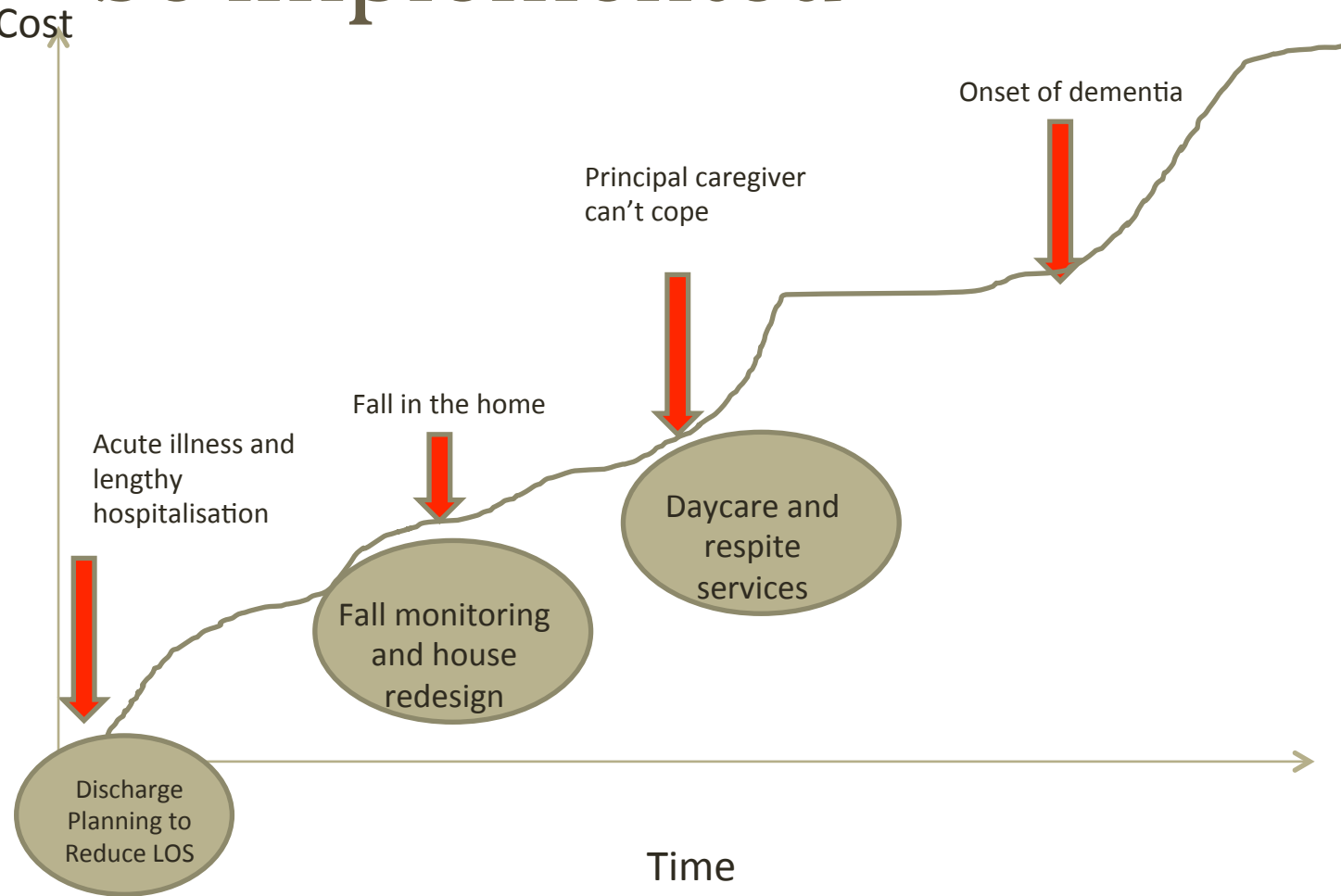
home help expenditure  
vs. risk of functional  
decline

Source: Weissert and Chernew  
(2003)

# Predicting “the cascade to dependency” in the elderly



# Allows prevention services to be implemented



# Predicting Functional Decline

When first enrolled for LTC patient risk rated for functional decline

→ Care package based on risk profile

→ risk rating constantly updated

→ Providers monitored on actual decline vs. predicted decline

# Can decline be routinely predicted?

- What data sets are available?



# Conclusion

- ✓ Predictive Risk Models help target patients
- ✓ Targeting in long term care is generally very poor
- ✓ Benefit from exploring a predictive risk model in targeting long term care

# Further Reading

Panattoni, L. E., R. Vaithianathan, et al. (2011). "Predictive risk modelling in health: options for New Zealand and Australia." *Australian Health Review* 35(1): 45-51.

Curry N, et. al. *Predictive Risk Project Literature Review, 2005*, Kings Fund: London.